



**Workers'
Compensation
Board**



eClaims August 2023 Webinar

AGENDA

01



July 2023 eClaims change log/table changes with new edits effective 1/18/2024 and 1/23/2024

02



Reminder: Timely FROIs, FROI-AQ/AU on acquired claims, FROI-02 on Insurer FEIN changes or FROI-UR/SROI-SA

03



Acceptance after denial

04



Reminder: Filing SROI-SX or SROI-AC when payments are stopped

05



Most frequent errors returned during the past 90 days

**July 2023 eClaims
change log/table
changes published with
New edits effective
1/18/2024 and 1/23/2024**



July 2023 eClaims change log published announcing clerical edits

Item #	Document	Item	Description of Change
352	Event Table - SROI MTC Filing Instructions	SROI Tab- PY Event Interest Due	Clerical Edit - Updated to clarify that the interest due is payable to the claimant within 10 days but report is due within 16 days.
353	Element Requirements - FROI Element Requirements	DN0005 (Jurisdiction Claim Number)	Changed DN0005: MTC AQ from green @ to blank per IAIABC Change Log ERT229. Changed DN0005: MTC UR from green @ to blank per IAIABC Change Log ERT233.
354	Element Requirements- FROI Element Requirements Element Requirements - SROI Element Requirements	DN0017 (Insured Name) DN0184 (Insured Type Code) DN0185 (Insurer Type Code) DN0314 (Insured FEIN)	Changed DN0184, DN0185, DN0314 and DN0017: MTC AQ/UR from orange # to blank per IAIABC ERT230.
359	Element Requirements - SROI Element Requirements	DN0193 (Suspension Effective Date) DN0418 (Suspension Reason Code - Full)	Modified the SROI-02 Reportable Change Codes for Update from 'Y' to 'YG' and added an exception on the SROI Exception Tab to read the following: SROI-02 change to be filed when DN193 or DN418 has changed and the last accepted SROI report on the claim is an SX (ignoring 02's or SA).
364	Edit Matrix - Population Restrictions	DN0062 (Wage) DN0086 (Benefit Type Amount Paid) DN0087 (Net Weekly Amount) DN0093 (Benefit Adjustment Weekly Amount) DN0124 (Actual Reduced Earnings) DN0129 (Benefit Credit Weekly Amount) DN0133 (Benefit Redistribution Weekly Amt) DN0134 (Calculated Weekly Comp Amt) DN0143 (Concurrent Employer Wage) DN0174 (Gross Weekly Amount) DN0215 (Other Benefit Type Amount) DN0218 (Payment Amount) DN0225 (Recovery Amount) DN0286 (Average Wage) DN0433 (Overpayment Amount - Current) DN0435 (Reduced Earnings Net Weekly Amount Due by Claims Administrator)	Update element error text for all DN's defined as amounts to remove the word "value" from the error message. Was: Value must be greater than or equal to 0 Now: Must be greater than or equal to 0
365	Edit Matrix- Population Restrictions	DN0056 (Initial Date Disability Began) DN0058 (Employment Status Code) DN0144 (Current Date Disability Began) DN0184 (Insured Type Code)	Clerical Edit. Updated DN0056-064 D), DN0058-064 D), DN0144-064 B) and DN0184-064 to better clarify when the denial is in considered in effect. Business Need: Enhanced for better clarification of edit.
367	Edit Matrix - DN Error Message	DN0138 (Claim Administrator Claim Representative E-Mail Address)	Added L under Error 120 no embedded spaces per IAIABC Change Log EM145. Note: We have grayed out this new error as we will not be applying this edit.

July 2023 eClaims change log published announcing relaxed edit

Item #	Document	Item	Description of Change
363	Edit Matrix- Population Restrictions	DN0031 (Date of Injury)	Relaxed Population Restriction 0031-064 I) on FROI-02 and SROI-02 so that DN0199 (Full Denial Effective Date) will only need to be updated to be on or after DN0031 (Date of injury) if the denial is still in effect.

July 2023 eClaims change log published announcing edits to be implemented on 1/18/2024 for DN0063 (Wage Period Code)

The following new edits were posted on the NYS eClaims EDI R3.1 Change Log on 7/14/2023 with six months' notice provided, in accordance with the IAIABC Claims EDI standard. File Text Protocol (FTP) filers should ensure that their systems are coded accordingly to avoid rejections after 1/18/2024.

- ID#355 DN0063 (Wage Period Code) Changed both the FROI-02 and SROI-02 Reportable Change Code for "Remove" to J. Business Need: To allow DN0063 (Wage Period Code) to be updated on the FROI
- ID#356 DN0063 (Wage Period Code) added new Conditional Requirement for FROI-02

July 2023 eClaims change log published announcing edits to be implemented on 1/18/2024 for DN0064 (Number of Days Worked Per Week)

The following new edits were posted on the NYS eClaims EDI R3.1 Change Log on 7/14/2023 with six months' notice provided, in accordance with the IAIABC Claims EDI standard. File Text Protocol (FTP) filers should ensure that their systems are coded accordingly to avoid rejections after 1/18/2024.

- ID#357 DN0064 (Number of Days Worked Per Week) modified the FROI-02 and SROI-02 Reportable Change Code for "Add" and "Update from 'K' (Required Change on FROI) to 'J' (Change on SROI if Accepted Otherwise Change on FROI).
Business Need: Based on Population Restrictions being applied this allows for DN0064 to be updated on an 02 along with DN0204 (Work Week Type Code) and DN0205 (Work Days Scheduled Code) which both have a reportable change code for "Add" and "Update" of 'J'.
- ID#371 modified Population Restrictions 0064-064 A and B to include all 02's.

July 2023 eClaims change log published announcing edits to be implemented on 1/18/2024 for SROI Data Elements

The following new edits were posted on the NYS eClaims EDI R3.1 Change Log on 7/14/2023 with six months' notice provided, in accordance with the IAIABC Claims EDI standard. File Text Protocol (FTP) filers should ensure that their systems are coded accordingly to avoid rejections after 1/18/2024.

- ID#361 Changed Severity Rankings on 40 Data Element on SROIs from NA to AR to resolve issues for Flat Filers with last reported data element for 02 Changes.
- ID#360 and #362 Changed DN0097 (Dependent/Payee Relationship Code), DN0425 (Dependent First Name), DN0426 (Dependent Last Name), DN0427 (Dependent Date of Birth) on SROI-SA from NA to MC to resolve issues for Flat Filers with last reported data element for 02 Changes.
- ID#369 Removed MTC SA from 0424-045 (Number of Dependent/Payee Relationships) due to change in death dependents severity ranking change from NA to MC listed in Change Log ID#362.

July 2023 eClaims change log published announcing edits to be implemented on 1/25/2024 for new DN0443 (Employee Email Address)

The following new edits were posted on the NYS eClaims EDI R3.1 Change Log on 7/14/2023 with six months' notice provided, in accordance with the IAIABC Claims EDI standard. File Text Protocol (FTP) filers should ensure that their systems are coded accordingly to avoid rejections after 1/25/2024.

- ID#358 Added new DN0443 (Employee Email Address) to the FROI Element Requirement table with the following severity rankings:
FROI 00/04/AQ/AU/UR = AR, FROI-01 = NA, FROI-02 Add/Update/Remove = 'K' Reportable Change Code (Required Change on FROI), FROI-02 delete = 'B' (Restricted by IAIABC Change Rules). IAIABC Change Log ERT232. R21 Position 1210-1259.
- ID#368 DN0443 (Employee Email Address) Added "L" under Error 120 no embedded spaces and 121 no leading spaces per IAIABC Change Log EM146 for IRR CLM931.
Note: We have grayed out Error 120 no embedded spaces as we will not be applying this edit.
- ID#370 DN0443 (Employee Email Address) New Population Restriction:
DN0443-111 must be a valid e-mail that has an embedded at sign. Element Error Text: must be a valid e-mail with an embedded @ sign.

July 2023 eClaims change log published announcing edit to be implemented on 1/25/2024 for all Data Element identified as alpha/numeric

The following new edit was posted on the NYS eClaims EDI R3.1 Change Log on 7/14/2023 with six months' notice provided, in accordance with the IAIABC Claims EDI standard. File Text Protocol (FTP) filers should ensure that their systems are coded accordingly to avoid rejections after 1/25/2024.

ID#366 New Population Restriction for Error 111 will be added to all DN's identified as alpha/numeric.

If an alpha/numeric number is sent on a Data Element that NYS WCB has asked for, then the value cannot be characters that are not found in the IAIABC Claims EDI standard under the acceptable character list for alpha/numeric data types listed in Section 2 of the IAIABC Claims Implementation Guide. The acceptable characters are as follows: A...Z, a...z, 0...9, . / ? : ' " [{] } \ | ` ~ ! @ # \$ % ^ & * () - = + (space).

Chart of DNs triggered on 02

- NYS reference document chart located at [Chart of DNs triggered on 02](#) was updated to reflect the Change 02 Element Requirement Table changes posted in July 2023.
- This handout is meant to be a quick reference guide for Severity Rankings for the 02 Change for data elements requiring MTC 02 to be triggered.

**Reminder: Timely FROIs,
File FROI-AQ/AU on
acquired Claims, FROI-02
on Insurer FEIN changes
or FROI-UR/SROI-SA**



**Workers'
Compensation
Board**

Reminder for Timely FROI filings

- 18/10 Day Rule defined in NYCRR Section 300.22 : “On or before the 18th day after the disability event OR within 10 days after the employer has knowledge of the disability event, whichever period is greater, the carrier, Special Fund, self-insured employer or TPA shall file electronically a First Report of Injury (FROI) with the Board.”
- "Disability event" means any accident, including death resulting therefrom, occurring in the course of employment or any alleged accident, including death resulting therefrom, that results in personal injury which has caused or will cause a loss of time from regular duties of one day beyond the working day or shift on which the accident or alleged accident occurred, or which has required or will require medical treatment beyond ordinary first aid or more than two treatments by a person rendering first aid; or any disease or alleged disease, including death resulting therefrom, claimed to have been caused by the nature of the employment and contracted therein.

Note: A C-2F Form filed by an employer does not satisfy the Claim Administrator's requirement to file a FROI.

Reminder for the FROI-AQ/AU requirements when a new Claim Administrator takes over a claim

- This should be filed on all active cases including closed cases that medical is being paid.
- Failure to file the AQ/AU could result in issues with the Prior Authorization Request dashboard assignment.
- The Board could impose a § 25-3-e penalty for failure to file a required form. This penalty would be imposed outside of the Monitoring Unit process but could be imposed at the case level based on statute.

Reminder for the FROI-02 requirements when an Insurer FEIN changes

- Remember to file FROI-02 transactions when Insurer FEIN changes and you are paying indemnity and/or medical, as this could affect the Priority Authorization Request dashboard assignment.
- When the Board receives a transaction and the Insurer does not match our Insurance Company records based on Proof of Coverage reporting, a request for the FROI-02 is sent at the case level.
- The FROI-02 should be filed or a response to the request should be sent indicating the policy information so a determination can be made to change the Primary Insurer on the file.
- The Board could impose a § 25-3-e penalty for failure to file a required form. This penalty would be imposed outside of the Monitoring Unit process but could be imposed at the case level based on statute.

Reminder for the FROI-00/UR/SA requirements when indemnity is being paid

- SROI-SA should be filed on all cases that indemnity is being paid. FROI-00/UR may need to be filed first on old cases.
- If another Claim Administrator has acquired the claim, turn off your SROI-SA trigger.
- Failure to file these transactions could result in issues with the Prior Authorization Request dashboard assignment.
- The Board could impose a § 25-3-e penalty for failure to file a required form. This penalty would be imposed outside of the Monitoring Unit process but could be imposed at the case level.

Acceptance after Denial

Acceptance after FROI-04

To accept a claim after a FROI-04

- For cases with a date of accident prior to 1/1/2019, you need to submit a FROI-00 with DN0074 (Claim Type Code) other than N (Notification Only).
 - If you try to file a FROI-00 with N after your FROI-04, it will be rejected.
- For cases with a date of accident on or after 1/1/2019, you need to submit a FROI-00 with DN0075 (Agreement to Compensate Code) of L (With Liability).
 - If you try to file a FROI-00 with W (Without Liability) after your FROI-04, it will be rejected.

Note: If filing a FROI/SROI-02 to change a data element and you wish to maintain your denial, leave DN0075 (Agreement to Compensate Code) null as L (With Liability) could be interpreted as an acceptance.

Acceptance after SROI-04

To accept a claim after a SROI-04:

- A SROI that indicates what event has occurred (IP/AP/EP/CD/ER/RB/PY with benefit segment) that shows acceptance or a SROI-02.
- For cases with a date of accident prior to 1/1/2019, you will need to send DN0196 (Date of Rescission).
- For cases with a date of accident on or after 1/1/2019, the Agreement to Compensate Code shows acceptance of a claim.

Note: If filing a FROI/SROI-02 to change a data element and you wish to maintain your denial, leave DN0075 (Agreement to Compensate Code) null as L (With Liability) could be interpreted as an acceptance.

Acceptance after Denial FAQs located at [eClaims FAQs \(ny.gov\)](https://www.ny.gov/eclaims-faqs) were updated on 7/28/2023

- Removed #7 under the Appropriate MTC Filing and renumbered the remaining FAQ's under that section.
- Updated #5 under Data Element Reporting to read the following:

5. How do I accept a case after a FROI-04/SROI-04 has been submitted?

Both a FROI-04 and a SROI-04 can be used to deny a claim. For example:

- A FROI-04 can be used to deny a claim as your first filing. The process to accept a claim after your FROI-04 is accepted depends on the date of injury or illness:
 - a. *Prior to January 1, 2019* - you need to submit a FROI-00 with Claim Type Code other than N (Notification Only). If you try to file a FROI-00 with N after your FROI-04, it will be rejected.
 - b. *On or after January 1, 2019* - you need to submit a FROI-00 with Agreement to Compensate Code of L (With Liability). If you try to file a FROI-00 with W (Without Liability) after your FROI-04, it will be rejected.
- A SROI-04 can be used to deny a claim but can only be sent after a FROI-00/AQ/AU/UR/04 or another SROI has been accepted. To accept a claim after your SROI-04 has been accepted, you must submit a SROI that indicates the event (IP/AP/EP/CD/ER/RB/PY with benefit segment) that shows acceptance or a SROI-02.
 - a. *Prior to January 1, 2019* – you need to send DN0196 (Denial Rescission Date).
 - b. *On or after January 1, 2019* - b. On or after January 1, 2019 - the Agreement to Compensate Code shows acceptance of a claim

Notes:

- For details regarding acceptance pursuant to Section 21a, please visit [Process for Section 21-a and Claims Paid Without Liability](#).
- To accept a claim after a FROI-04 which is immediately followed by a SROI-04 you must file a FROI-00.

**Reminder: Filing
SROI-SX or SROI-AC
when payment(s)
have ceased**



Filing SROI-SX

- A suspension filing is required each time an indemnity payment for a period of lost time is made and no further payments will be made. DN0418 (Suspension Reason Code – Full) identifies the reason that payment(s) have ceased. DN0233 (Suspension Narrative) is required to further detail the reason that payment(s) have ceased.
- Pursuant to WCL Section 25(1)(d): Whenever for any reason compensation payments cease, the employer or its insurance carrier shall within sixteen days thereafter, send to the chair a notice on a form prescribed by the chair that such payment has been stopped.
- It is important to file a SROI-SX prior to acquisition by a new Claim Administrator.

Filing SROI-AC

- The Board returns DN0410 (Acquisition Status Code) “B = SROI Due” on the acknowledgement file from Acquired Claims (AQ) and Acquired/Unallocated (AU) submissions if prior Claim Administrator did not file a suspension.
- Claim Administrator must notify the Board that payments are not being made within 16 days of receiving “B = SROI Due” in DN0410 (Acquisition Status Code) on the returned acknowledgement from an AQ or AU pursuant to §300.23, or SROI-AP should be filed if the new Claim Administrator is still paying.
- DN0423 (Acquired Claim Last Known Indemnity Through Date) is Mandatory Conditional (MC) on Acquisition/Indemnity Ceased (SROI-AC).
 - Condition: Required if DN0203 (Employer Paid Salary Prior to Acquisition) is not equal to E (Only 2xx Benefit Type Code(s) paid prior to acquisition).

Most Frequent Errors Returned During the Past 90 Days

Population Restriction 0085-064A

This most common error for the last 90 days as well as the top error for 2022 was a carryover edit from eClaims R3.0. **The population restriction is intended to prevent a new DN0085 (Benefit Type Code) from being introduced as a sweep benefit if not previously submitted as an Event Benefit or a SROI-UR(G)/SA included the Benefit Type Code.** This is based on the IAIABC Claims EDI Section 4 rules. FTP filers should ensure that their systems are programmed accordingly to avoid further rejections.

DN	Report or MTC	Data Element Name	Population Restriction	Error Message Number	Error Message Text	Element Error Text (DN0291)	Length of G	Length of col H, 50 pos allowed
			A) If DN0002 (Maintenance Type Code) is not UR(G), then DN0085 (Benefit Type Code) other than 2xx or 5xx cannot be sent on a sweep benefit unless previously sent on any prior SROI unless no SROI has been accepted or if there have been only SROI-SA or SROI-UR(G) accepted.					

Population Restriction 0075-064C

The second most common error returned in the past 90 days is an eClaims R3.0 carryover to ensure that DN0075 (Agreement to Compensate Code) is sent as “With Liability” after the Board has issued a Notice that the case has been established with an ANCR/ODNCR finding in accordance with § 21-a. FTP filers should ensure that their systems are programmed accordingly to avoid further rejections.

DN	Report or MTC	Data Element Name	Population Restriction	Exception	Error Message Number	Error Message Text	Element Error Text (DN0291)	Length of G	Length of col H, 50 pos allowed
0075	All SROI except SA	Agreement to Compensation Code	C) SROI (exc SROI-SA) cannot list Agreement to Compensate Code – W if ANCR/DNCR has been established (excluding 25(1)(f) cases or ANCR/ODNCR finding pending appeal). Effective 3/15/2018	Effective 11/17/19, this edit will not be applied if DN0059 (Manual Classification Code) has been accepted as 7711 or 7370.	064	Invalid data relationship	Must be L since ANCR/ODNCR has been established	25	47

Population Restriction 0085-059B

The third most common error returned in the past 90 days is another eClaims R3.0 carryover. This edit supports the IAIABC Claims EDI Section 4 rules that do not allow BTC 0xx to be introduced on the SROI-SA as a prior Event should have been reported. FTP filers should ensure that their systems are programmed accordingly to avoid further rejections.

DN	Report or MTC	Data Element Name	Population Restriction	Error Message Number	Error Message Text	Element Error Text (DN0291)	Length of G	Length of col H, 50 pos allowed
			B) If SROI-SA and DN0085 (Benefit Type Code) is not 2xx or 5xx, then DN0085 (Benefit Type Code) must have been previously reported since it is not possible to introduce a new Benefit Type Code on a SROI-SA other than 2xx or 5xx unless no SROI has been accepted or only SROI-SA and/or SROI-UR(G) has been accepted.					



GET INVOLVED/STAY INFORMED

Visit the eClaims webpage at wcb.ny.gov for eClaims news, engagement opportunities, and to register for email updates.

For Claims EDI questions, email eClaims@wcb.ny.gov.

Follow the Board on social media:



Thank You